

## General information about company

Scrip code	530557
Name of the company	NCL RESEARCH & FINANCIAL SERVICES LIMITED
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Date of Report (For Prelisting / Allotment)	31-03-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

### Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	4062500			4062500	2.79	4062500		4062500	2.79
(B)	Public	633	141362500			141362500	97.21	141362500		141362500	97.21
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>634</b>	<b>145425000</b>			<b>145425000</b>		<b>145425000</b>		<b>145425000</b>	<b>100</b>

## Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group								4062500
(B)	Public								127000275
(C)	Non Promoter-Non Public								
(C1)	Shares underlying DRs								
(C2)	Shares held by Employee Trusts								
	Total								131062775

## Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	
								Class eg: X	Class eg:y	Total		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)	Individuals/Hindu undivided Family	1	4062500			4062500	2.79		4062500		4062500	2.79
	Sub-Total (A)(1)	1	4062500			4062500	2.79		4062500		4062500	2.79
(2)	Foreign											
	Total Shareholding											

of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	4062500	4062500	2.79	4062500	4062500	2.79
<b>B Table III - Statement showing shareholding pattern of the Public shareholder</b>							
(1) Institutions							
(3) Non-institutions							
(a(i)) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	444	1257536	1257536	0.86	1257536	1257536	0.86
(a(ii)) Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	8069775	8069775	5.55	8069775	8069775	5.55
(e) Any Other (specify)	167	132035189	132035189	90.79	132035189	132035189	90.79
Sub-Total (B)(3)	633	141362500	141362500	97.21	141362500	141362500	97.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	633	141362500	141362500	97.21	141362500	141362500	97.21
<b>C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder</b>							
Total (A+B+C2)	634	145425000	145425000	100	145425000	145425000	100
Total (A+B+C)	634	145425000	145425000		145425000	145425000	100

## Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
<b>A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
(1) Indian									
(a)				2.79					4062500
Sub-Total (A)(1)				2.79					4062500

(2)	Foreign		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	2.79	4062500
B	Table III - Statement showing shareholding pattern of the Public shareholder		
(1)	Institutions		
(3)	Non-institutions		
(a(i))		0.86	1224636
(a(ii))		5.55	6714775
(e)		90.79	119060864
	Sub-Total (B)(3)	97.21	127000275
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	97.21	127000275
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder		
	Total (A+B+C2)	100	131062775
	Total (A+B+C)		131062775

## Individuals/Hindu undivided Family

Searial No.	1	
Name of the Shareholders (I)	VIJAY JAIDEO PODDAR	<a href="#">Click here to go back</a>
PAN (II)	AACPP8853C	Total
No. of fully paid up equity shares held (IV)	4062500	4062500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4062500	4062500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.79	2.79
Number of Voting Rights held in each class of securities (IX)		

Class eg:X	4062500	4062500
Class eg:y		
Total	4062500	4062500
Total as a % of Total Voting rights	2.79	2.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	2.79	2.79
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4062500	4062500

## Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	
Name of the Shareholders (I)	Others Holding Less than 1%	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	8069775	8069775

No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8069775	8069775
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.55	5.55
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	8069775	8069775
Class eg:y		
Total	8069775	8069775
Total as a % of Total Voting rights	5.55	5.55
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.55	5.55
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	6714775	6714775

### Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	RANGAN VINCOM PRIVATE LIMITED	PETUNIA TRADES PRIVATE LIMITED	LIFEWOOD TIEUP PRIVATE LIMITED	JOYGURU COMMOTRADE PVT. LTD.	VENERA IT MARKETING PVT LTD	SHREEHARI VINIMAY PRIVATE LIMITED	FAIR DEAL EQUIRESEARCH AND REALTORS PVT.LTD.
PAN (II)	AAGCR1715E	AAGCP4719E	AACCL2349A	AACCJ1455B	AAFVCV0237L	AAJCS5620A	AABCF2782J
No. of the Shareholders (I)							
No. of fully paid up equity shares held (IV)	1501600	1572025	1871725	2261675	2263450	2479200	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1501600	1572025	1871725	2261675	2263450	2479200	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.08	1.29	1.56	1.56	1.7	1.72
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1501600	1572025	1871725	2261675	2263450	2479200	2500000
Class eg:y							
Total	1501600	1572025	1871725	2261675	2263450	2479200	2500000
Total as a % of Total Voting rights	1.03	1.08	1.29	1.56	1.56	1.7	1.72
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)							

(a)

Shareholding ,  
as a %  
assuming full  
conversion of  
convertible  
securities (as a  
percentage of  
diluted share  
capital) (XI)=  
(VII)+(X) As  
a % of  
(A+B+C2)

1.03	1.08	1.29	1.56	1.56	1.7	1.72
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Number of Locked in shares (XII)

No. (a)

As a % of  
total Shares  
held (b)

Number of  
equity shares  
held in  
dematerialized  
form (XIV)

1501600	1572025	1497380	2261675	2263450	2479200	2500000
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### Any Other (specify)

Serial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	REACHSMART CONSTRUCTION PRIVATE LIMITED	VICTOR COMMODEAL PRIVATE LIMITED	SPRINT VANIJYA PRIVATE LIMITED	ROCKERS TRADELINK PRIVATE LIMITED	SNOWHILL DEATRADE PRIVATE LIMITED	SHIVSATHI MERCANTILE PRIVATE LIMITED	HELOT PROPERTIES PRIVATE LIMITED
PAN (II)	AAGCR4662J	AADCV5991P	AALCS9394K	AAECR2957A	AARCS1012L	AASCS6284R	AACCH8885R
No. of the Shareholders (I)							
No. of fully paid up equity shares held (IV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Shareholding as a % of total no. of shares							



(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	1.88	2.02
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Number of Voting Rights held in each class of securities (IX)

Class eg: X	2530775	2566950	2615625	2618875	2696625	2740025	2936950
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Class eg:y

Total	2530775	2566950	2615625	2618875	2696625	2740025	2936950
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Total as a % of Total Voting rights	1.74	1.77	1.8	1.8	1.85	1.88	2.02
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No. Of Shares Underlying Outstanding convertible securities (X)

No. Of Warrants (Xi)

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)

	1.74	1.77	1.8	1.8	1.85	1.88	2.02
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Number of Locked in shares (XII)

No. (a)

As a % of total Shares held (b)

Number of equity shares held in dematerialized form (XIV)

	2530775	2566950	2615625	2618875	2696625	2740025	2936950
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### Any Other (specify)

Serial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
	GOODPOINT	SAIKAT	AYAAN	KATYANI	GREENROW	DAKOTA	CORE

Name of the Shareholders (I)	IMPEX PRIVATE LIMITED	TRADELINK PRIVATE LIMITED	COMMERCIAL PRIVATE LIMITED	COMMODITIES PRIVATE LIMITED	TRADING PRIVATE LIMITED	VINTRADE PRIVATE LIMITED	COMMODITIES PRIVATE LIMITED
PAN (II)	AAF CG0606G	AALCS9387E	AAKCA4354Q	AAECK6244R	AAECG7303F	AADCD8829Q	AAECC2931M
No. of the Shareholders (I)							
No. of fully paid up equity shares held (IV)	3288265	3362025	3466100	3731575	3756925	3776175	4481550
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3288265	3362025	3466100	3731575	3756925	3776175	4481550
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.26	2.31	2.38	2.57	2.58	2.6	3.08
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3288265	3362025	3466100	3731575	3756925	3776175	4481550
Class eg: y							
Total	3288265	3362025	3466100	3731575	3756925	3776175	4481550
Total as a % of Total Voting rights	2.26	2.31	2.38	2.57	2.58	2.6	3.08
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	2.26	2.31	2.38	2.57	2.58	2.6	3.08

(VII)+(X) As  
a % of  
(A+B+C2)

Number of Locked in shares (XII)

No. (a)

As a % of  
total Shares  
held (b)

Number of  
equity shares  
held in  
dematerialized  
form (XIV)

	3288265	3362025	1416100	3731575	3756925	3776175	231550
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### Any Other (specify)

Searial No.	22	23	24	25	26	27	28
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	DIGANTA TECHNOLOGY PRIVATE LIMITED	SWADHINATA VINTRADE PRIVATE LIMITED	EVERSTRONG VINCOM PVT LTD	LOOKLIKE TRADE PRIVATE LIMITED	OVERLOAD FINANCIAL ADVISORY PRIVATE LIMITED	SANKATSATHI AGENCIES PRIVATE LIMITED	GOODSHINE DEALTRADE PRIVATE LIMITED
PAN (II)	AAECD2038C	AARCS2310P	AACCE9957B	AACCL2013K	AABCO6950F	AARCS2968M	AAECG6112A
No. of the Shareholders (I)							
No. of fully paid up equity shares held (IV)	5000800	5402175	5419825	5693625	6292350	6906075	8256800
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5000800	5402175	5419825	5693625	6292350	6906075	8256800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.44	3.71	3.73	3.92	4.33	4.75	5.68
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	5000800	5402175	5419825	5693625	6292350	6906075	8256800
Class eg: y							

Total	5000800	5402175	5419825	5693625	6292350	6906075	8256800
Total as a % of Total Voting rights	3.44	3.71	3.73	3.92	4.33	4.75	5.68
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.44	3.71	3.73	3.92	4.33	4.75	5.68
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	5000800	5402175	5419825	5693625	92350	6906075	8256800

### Any Other (specify)

Serial No.	29	30	
Category	Bodies Corporate	Foreign Individuals or NRI	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			<a href="#">Click here to go back</a>
PAN (II)			Total
No. of the Shareholders (I)	135	4	139
No. of fully paid up equity shares held (IV)	30044624	800	132035189

No. Of Partly  
paid-up equity  
shares held (V)

No. Of shares  
underlying  
Depository  
Receipts (VI)

Total nos. shares held (VII) = (IV)+(V)+ (VI)	30044624	800	132035189
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Shareholding as  
a % of total no.  
of shares  
(calculated as  
per SCRR,  
1957) (VIII) As  
a % of  
(A+B+C2)

20.66	0	90.79
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Number of Voting Rights held in each class of securities (IX)

Class eg: X	30044624	800	132035189
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Class eg:y

Total	30044624	800	132035189
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Total as a % of Total Voting rights	20.66	0	90.79
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No. Of Shares  
Underlying  
Outstanding  
convertible  
securities (X)

No. Of Warrants  
(Xi)

No. Of Shares  
Underlying  
Outstanding  
convertible  
securities and  
No. Of Warrants  
(Xi) (a)

Shareholding ,  
as a % assuming  
full conversion  
of convertible  
securities (as a  
percentage of  
diluted share  
capital) (XI)=  
(VII)+(X) As a  
% of (A+B+C2)

20.66	0	90.79
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Number of Locked in shares (XII)

No. (a)

As a % of total  
Shares held (b)

Number of  
equity shares  
held in  
dematerialized  
form (XIV)

29944644	800	119060864
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