

General information about company	
Scrip code	530557
NSE Symbol	
MSEI Symbol	
ISIN	INE132F01020
Name of the company	NCL RESEARCH & FINANCIAL SERVICES LTD
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	4062500			4062500	2.79	4062500		4062500	2.79
(B)	Public	1550	141362500			141362500	97.21	141362500		141362500	97.21
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1551	145425000			145425000	100	145425000		145425000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				2.79					4062500
(B)	Public				97.21					126900275
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					130962775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	4062500			4062500	2.79	4062500		4062500	2.79
Sub-Total (A)(1)		1	4062500			4062500	2.79	4062500		4062500	2.79
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	4062500			4062500	2.79	4062500		4062500	2.79
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(2)	Central Government/ State Government (s)/ President of India	1	1000			1000	0	1000		1000	0
Sub-Total (B)(2)		1	1000			1000	0	1000		1000	0
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1369	3556113			3556113	2.45	3556113		3556113	2.45
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	23	15007555			15007555	10.32	15007555		15007555	10.32
(e)	Any Other (specify)	157	122797832			122797832	84.44	122797832		122797832	84.44
Sub-Total (B)(3)		1549	141361500			141361500	97.21	141361500		141361500	97.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1550	141362500			141362500	97.21	141362500		141362500	97.21
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1551	145425000			145425000	100	145425000		145425000	100
Total (A+B+C)		1551	145425000			145425000	100	145425000		145425000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				2.79					4062500
Sub-Total (A) (1)				2.79					4062500
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				2.79					4062500
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(2)				0					1000
Sub-Total (B) (2)				0					1000
(3)	Non-institutions								
(a(i))				2.45					3473213
(a(ii))				10.32					13652555
(e)				84.44					109773507
Sub-Total (B) (3)				97.21					126899275
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				97.21					126900275
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					130962775
Total (A+B+C)				100					130962775

Individuals/Hindu undivided Family		
Searial No.	1	
Name of the Shareholders (I)	Vijay Jaideo Poddar	Click here to go back
PAN (II)	AACPP8853C	Total
No. of fully paid up equity shares held (IV)	4062500	4062500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4062500	4062500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.79	2.79
Number of Voting Rights held in each class of securities (IX)		
Class eg:X	4062500	4062500
Class eg:y		
Total	4062500	4062500
Total as a % of Total Voting rights	2.79	2.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	2.79	2.79
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4062500	4062500
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Searial No.	1	2	
Name of the Shareholders (I)	CHAYA ASHOK AGRAWAL	JAYANTA BOSE	Click here to go back
PAN (II)	AHMPA0421C	AJEPB5862C	Total
No. of fully paid up equity shares held (IV)	1731250	6028290	7759540
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1731250	6028290	7759540
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	4.15	5.34
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1731250	6028290	7759540
Class eg:y			
Total	1731250	6028290	7759540
Total as a % of Total Voting rights	1.19	4.15	5.34
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.19	4.15	5.34
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1731250	6028290	7759540
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	RANGAN VINCOM PRIVATE LIMITED	PETUNIA TRADES PRIVATE LIMITED	AYAAN COMMERCIAL PRIVATE LIMITED	LIFEWOOD TIEUP PRIVATE LIMITED	JOYGURU COMMOTRADE PVT. LTD.	FAIR DEAL EQUIRESEARCH AND REALTORS PRIVATE LIMITED	REACHSMART CONSTRUCTION PRIVATE LIMITED
PAN (II)	AAGCR1715E	AAGCP4719E	AAKCA4354Q	AAACL2349A	AACJ1455B	AABCF2782J	AAGCR4662J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1501600	1572025	1675000	1871725	2261675	2500000	2530775
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1501600	1572025	1675000	1871725	2261675	2500000	2530775
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.08	1.15	1.29	1.56	1.72	1.74
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1501600	1572025	1675000	1871725	2261675	2500000	2530775
Class eg:y							
Total	1501600	1572025	1675000	1871725	2261675	2500000	2530775
Total as a % of Total Voting rights	1.03	1.08	1.15	1.29	1.56	1.72	1.74
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	1.03	1.08	1.15	1.29	1.56	1.72	1.74
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1501600	1572025	0	1497380	2261675	2500000	2530775
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	VICTOR COMMODEAL PRIVATE LIMITED	SPRINT VANIJYA PRIVATE LIMITED	ROCKERS TRADELINK PRIVATE LIMITED	SNOWHILL DEATRADE PRIVATE LIMITED	HELOT PROPERTIES PRIVATE LIMITED	TANAYA VINCOM PRIVATE LIMITED	SHREEHARI VINIMAY PRIVATE LIMITED
PAN (II)	AADCV5991P	AALCS9394K	AAECR2957A	AARCS1012L	AACCH8885R	AADCT8361K	AAJCS5620A
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	2566950	2615625	2618875	2696625	2936950	3000000	3204200
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2566950	2615625	2618875	2696625	2936950	3000000	3204200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.77	1.8	1.8	1.85	2.02	2.06	2.2
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2566950	2615625	2618875	2696625	2936950	3000000	3204200
Class eg:y							
Total	2566950	2615625	2618875	2696625	2936950	3000000	3204200
Total as a % of Total Voting rights	1.77	1.8	1.8	1.85	2.02	2.06	2.2
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.77	1.8	1.8	1.85	2.02	2.06	2.2
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2566950	2615625	2618875	2696625	2936950	3000000	3204200
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Serial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	KATYANI COMMODITIES PRIVATE LIMITED	CORE COMMODITIES PRIVATE LIMITED	SWADHINATA VINTRADE PRIVATE LIMITED	LOOKLIKE TRADE PRIVATE LIMITED	EVERSTRONG VINCOM PVT LTD	OVERLOAD FINANCIAL ADVISORY PRIVATE LIMITED	ARYADEEP INFRAHOMES PRIVATE LIMITED
PAN (II)	AAECK6244R	AAECC2931M	AARCS2310P	AACCL2013K	AACCE9957B	AABCO6950F	AAKCA4731F
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	3731575	4481550	5402175	5693625	5860414	6292350	6895375
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3731575	4481550	5402175	5693625	5860414	6292350	6895375
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.57	3.08	3.71	3.92	4.03	4.33	4.74
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3731575	4481550	5402175	5693625	5860414	6292350	6895375
Class eg:y							
Total	3731575	4481550	5402175	5693625	5860414	6292350	6895375
Total as a % of Total Voting rights	2.57	3.08	3.71	3.92	4.03	4.33	4.74
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XD)= (VII)+ (X) As a % of (A+B+C2)	2.57	3.08	3.71	3.92	4.03	4.33	4.74
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3731575	231550	5402175	5693625	5860414	92350	6895375
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Searial No.	22	23	24	25	26	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)	SANKATSATHI AGENCIES PRIVATE LIMITED	SHIVMANI VINIMAY PRIVATE LIMITED	GOODSHINE DEALTRADE PRIVATE LIMITED			Click here to go back
PAN (II)	AARCS2968M	AASCS7406D	AAECG6112A			Total
No. of the Shareholders (I)	1	1	1	124	9	133
No. of fully paid up equity shares held (IV)	6906075	7582200	8256800	28130601	13067	28143668
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6906075	7582200	8256800	28130601	13067	28143668
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.75	5.21	5.68	19.34	0.01	19.35
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	6906075	7582200	8256800	28130601	13067	28143668
Class eg:y						
Total	6906075	7582200	8256800	28130601	13067	28143668
Total as a % of Total Voting rights	4.75	5.21	5.68	19.34	0.01	19.35
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.75	5.21	5.68	19.34	0.01	19.35
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	6906075	7582200	8256800	27605621	13067	27618688
Reason for not providing PAN						
Reason for not providing PAN						

