

1. Name of Listed Entity
2. Scrip Code/Name of Scrip/Class of Security
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
4. **Declaration**

M/s. NCL Research & Financial Services Limited
530557 - NCLRESE, Equity Shares
Quarter ended 31st December 2015

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?		√
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		√
3	Whether the Listed Entity has any shares against which depository receipts are issued?		√
4	Whether the Listed Entity has any shares in locked-in?		√
5	Whether any shares held by promoters are pledge or otherwise encumbered?		√

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares	No. (a)		As a % of total Shares
								Class - Fully Paid	Class - Others	Total								
(A)	Promoter & Promoter Group	1	4,062,500	-	-	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	-	-	-	4,062,500
(B)	Public	634	141,362,500	-	-	141,362,500	97.21	141,362,500	-	141,362,500	97.21	-	97.21	-	-	N.A.	N.A.	127,000,275
(C)	Non-Promoter Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
	Total	635	145,425,000	-	-	145,425,000	100.00	145,425,000	-	145,425,000	100.00	-	100.00	-	-	-	-	131,062,775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class - Fully Paid	Class - Others	Total								
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Vijay Jaideo Poddar	1	4,062,500	-	-	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	-	-	-	4,062,500
(b)	Central Government/ State Government(s)																	
	Name (xyz...)																	
(c)	Financial Institutions/ Banks																	
	Name (xyz...)																	
(d)	Any Other (Body Corporate)																	
	Name (xyz...)																	
	Sub-Total (A)(1)	1	4,062,500	-	-	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	-	-	-	4,062,500
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)																	
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)																	
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)																	
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)																	
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)																	
	Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	1	4,062,500	-	-	4,062,500	2.79	4,062,500	-	4,062,500	2.79	-	2.79	-	-	-	-	4,062,500

Note - Details of Shares which remain unclaimed along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. - **Not Any**

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class - Fully Paid	Class - Others	Total								
(1)	Institutions																	
(a)	Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(b)	Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(c)	Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(d)	Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)		-	-	-	-	-	-	-	-	-	-	-	-			-	-
(e)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(f)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-	-
	Name (xyz...)																	
(i)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)																	
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Central Government/ State Government(s)/ President of India																	
	Name (xyz...)																	
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(3)	Non-institutions																	
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	445	1,192,065	-	-	1,192,065	0.82	1,192,065	-	1,192,065	0.82	-	0.82	-	-	N.A.	N.A.	1,159,165

	ii. Individual shareholders holding nominal share capital in excess of Rs. 2																	
	Othres (Less than 1%)	22	8,069,775	-	-	8,069,775	5.55	8,069,775	-	8,069,775	5.55	-	5.55	-	-	N.A.	N.A.	6,714,775
(b)	NBFCs registered with RBI																	
	Othres (Less than 1%)	1	7,550	-	-	7,550	0.01	7,550	-	7,550	0.01	-	0.01	-	-	N.A.	N.A.	7,550
(c)	Employee Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
(d)	Overseas Depositories (holding DRs) (balancing figure)															N.A.	N.A.	-
	Name (xyz...)																	
(e)	Bodies Corporate																	
	Rangan Vincom Private Limited	1	1,501,600	-	-	1,501,600	1.03	1,501,600	-	1,501,600	1.03	-	1.03	-	-	N.A.	N.A.	1,501,600
	Petunia Trades Private Limited	1	1,572,025	-	-	1,572,025	1.08	1,572,025	-	1,572,025	1.08	-	1.08	-	-	N.A.	N.A.	1,572,025
	Lifewood Tieup Private Limited	1	1,871,725	-	-	1,871,725	1.29	1,871,725	-	1,871,725	1.29	-	1.29	-	-	N.A.	N.A.	1,497,380
	Joyguru Commotrade Pvt. Ltd.	1	2,261,675	-	-	2,261,675	1.56	2,261,675	-	2,261,675	1.56	-	1.56	-	-	N.A.	N.A.	2,261,675
	Venera IT Marketing Pvt. Ltd.	1	2,263,450	-	-	2,263,450	1.56	2,263,450	-	2,263,450	1.56	-	1.56	-	-	N.A.	N.A.	2,263,450
	Shreehari Vinimay Private Limitd	1	2,479,200	-	-	2,479,200	1.70	2,479,200	-	2,479,200	1.70	-	1.70	-	-	N.A.	N.A.	2,479,200
	Fair Deal Equisearch & Realtors Pvt. Ltd.	1	2,500,000	-	-	2,500,000	1.72	2,500,000	-	2,500,000	1.72	-	1.72	-	-	N.A.	N.A.	2,500,000
	Reachsmart Construction Private	1	2,530,775	-	-	2,530,775	1.74	2,530,775	-	2,530,775	1.74	-	1.74	-	-	N.A.	N.A.	2,530,775
	Victor Commedeal Private Limited	1	2,566,950	-	-	2,566,950	1.77	2,566,950	-	2,566,950	1.77	-	1.77	-	-	N.A.	N.A.	2,566,950
	Sprint Vanijya Private Limited	1	2,615,625	-	-	2,615,625	1.80	2,615,625	-	2,615,625	1.80	-	1.80	-	-	N.A.	N.A.	2,615,625
	Rockers Tradelink Pvt. Ltd.	1	2,618,875	-	-	2,618,875	1.80	2,618,875	-	2,618,875	1.80	-	1.80	-	-	N.A.	N.A.	2,618,875
	Snowhill Deatrade Private Limited	1	2,696,625	-	-	2,696,625	1.85	2,696,625	-	2,696,625	1.85	-	1.85	-	-	N.A.	N.A.	2,696,625
	Shivsathi Mercantile Private Limited	1	2,740,025	-	-	2,740,025	1.88	2,740,025	-	2,740,025	1.88	-	1.88	-	-	N.A.	N.A.	2,740,025
	Helot Properties Private Limited	1	2,936,950	-	-	2,936,950	2.02	2,936,950	-	2,936,950	2.02	-	2.02	-	-	N.A.	N.A.	2,936,950
	Goodpoint Impex Private Limited	1	3,288,265	-	-	3,288,265	2.26	3,288,265	-	3,288,265	2.26	-	2.26	-	-	N.A.	N.A.	3,288,265
	Saikat Tradelink Private Limited	1	3,362,025	-	-	3,362,025	2.31	3,362,025	-	3,362,025	2.31	-	2.31	-	-	N.A.	N.A.	3,362,025
	Ayyan Commercial Private Limited	1	3,466,100	-	-	3,466,100	2.38	3,466,100	-	3,466,100	2.38	-	2.38	-	-	N.A.	N.A.	1,416,100
	Katyani Commodities Private Limited	1	3,731,575	-	-	3,731,575	2.57	3,731,575	-	3,731,575	2.57	-	2.57	-	-	N.A.	N.A.	3,731,575
	Greenrow Trading Private Limited	1	3,756,925	-	-	3,756,925	2.58	3,756,925	-	3,756,925	2.58	-	2.58	-	-	N.A.	N.A.	3,756,925
	Dakota Vintrade Private Limited	1	3,776,175	-	-	3,776,175	2.60	3,776,175	-	3,776,175	2.60	-	2.60	-	-	N.A.	N.A.	3,776,175

Core Commodities Private Limited	1	4,481,550	-	-	4,481,550	3.08	4,481,550	-	4,481,550	3.08	-	3.08	-	-	N.A.	N.A.	231,550
Diganta Technology Private Limited	1	5,000,800	-	-	5,000,800	3.44	5,000,800	-	5,000,800	3.44	-	3.44	-	-	N.A.	N.A.	5,000,800
Swadhinata Vintrade Private Limited	1	5,402,175	-	-	5,402,175	3.71	5,402,175	-	5,402,175	3.71	-	3.71	-	-	N.A.	N.A.	5,402,175
Everstrong Vincom Private Limited	1	5,419,825	-	-	5,419,825	3.73	5,419,825	-	5,419,825	3.73	-	3.73	-	-	N.A.	N.A.	5,419,825
Looklike Trade Private Limited	1	5,693,625	-	-	5,693,625	3.92	5,693,625	-	5,693,625	3.92	-	3.92	-	-	N.A.	N.A.	5,693,625
Overload Financial Advisory Pvt. Ltd.	1	6,292,350	-	-	6,292,350	4.33	6,292,350	-	6,292,350	4.33	-	4.33	-	-	N.A.	N.A.	92,350
Sankatsathi Agencies Private Limited	1	6,906,075	-	-	6,906,075	4.75	6,906,075	-	6,906,075	4.75	-	4.75	-	-	N.A.	N.A.	6,906,075
Goodshine Dealtrade Pvt. Ltd.	1	8,256,800	-	-	8,256,800	5.68	8,256,800	-	8,256,800	5.68	-	5.68	-	-	N.A.	N.A.	8,256,800
Othres (Less than 1%)	134	30,102,545	-	-	30,102,545	20.70	30,102,545	-	30,102,545	20.70	-	20.70	-	-	N.A.	N.A.	30,002,565
NRI (Repat & Non Repat)																	
Othres (Less than 1%)	4	800	-	-	800	0.00	800	-	800	0.00	-	0.00	-	-	N.A.	N.A.	800
Hindu Undivided																	
Othres (Less than 1%)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
Trust																	
Othres (Less than 1%)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	N.A.	-
Clearing Members																	
Sub-Total (B)(3)	634	141,362,500	-	-	141,362,500	97.21	141,362,500	-	141,362,500	97.21	-	97.21	-	-	N.A.	N.A.	127,000,275
Total Shareholding of Promoter and Promoter Group (B)=(B)(1)+(B)(2)+(B)(3)	634	141,362,500	-	-	141,362,500	97.21	141,362,500	-	141,362,500	97.21	-	97.21	-	-			127,000,275

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): **Not Any**

Note - Details of Shares which remain unclaimed along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. - **Not Any**