

(1)(a) Statement showing Shareholding Pattern

Name of the Company : NCL RESEARCH & FINANCIAL SERVICES LIMITED			
Name of the scrip : NCLRESE			
Scrip Code : 530557		Class of Security : Equity Shares	
Period ended: 31st December 2013			
Partly pair-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	5,817,000		



(I)(b) Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED						
Scrip Code : 530557		Quarter ended:			31st December 2013			
Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	*Shareholding of Promoter and Promoter Group						(VIII)	(IX) = (VIII) / (VI) * 100
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	1	163,500	163,500	2.81%	2.81%		
(b)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(c)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(d)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(1)	1	163,500	163,500	2.81%	2.81%	-	-
-2	Foreign				0.00%	0.00%		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	0.00%	0.00%	-	-
(b)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(c)	Institutions	-	-	-	0.00%	0.00%	-	-
(d)	Qualified Foreign Investor	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(2)	-	-	-	0.00%	0.00%	-	-
	*Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	1	163,500	163,500	2.81%	2.81%	-	-
(B)	Public shareholding				0.00%	0.00%	N.A.	N.A.
-1	Institutions				0.00%	0.00%	N.A.	N.A.
(a)	Mutual Funds/ UTI	-	-	-	0.00%	0.00%		
(b)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%		
(c)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%		
(d)	Venture Capital Funds	-	-	-	0.00%	0.00%		
(e)	Insurance Companies	-	-	-	0.00%	0.00%		
(f)	Foreign Institutional Investors	-	-	-	0.00%	0.00%		
(g)	Foreign Venture Capital Investors	-	-	-	0.00%	0.00%		
(h)	Qualified Foreign Investors	-	-	-	0.00%	0.00%		
(i)	Any Other (specify)	-	-	-	0.00%	0.00%		
	Sub-Total (B)(1)	-	-	-	0.00%	0.00%		
-2	Non-institutions				0.00%	0.00%		
(a)	Bodies Corporate	156	4,739,020	4,239,020	81.47%	81.47%	N.A.	N.A.
(b)	Individuals -				0.00%	0.00%		
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh.	180	288,801	262,301	4.96%	4.96%		
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	30	625,679	596,679	10.76%	10.76%		
(c)	Qualified Foreign Investors	-	-	-	0.00%	0.00%		
(d)	Any Other (Specify)	-	-	-	0.00%	0.00%		
	Sub-Total (B)(2)	366	5,653,500	5,098,000	97.19%	97.19%		
	Total Public Shareholding (B) = (B)(1)+(B)(2)	366	5,653,500	5,098,000	97.19%	97.19%	N.A.	N.A.
	TOTAL (A)+(B)	367	5,817,000	5,261,500	100.00%	100.00%		
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	0.00%	0.00%	N.A.	N.A.
(1)	Promoter and Promoter Group	-	-	-	0.00%	0.00%	-	-
(2)	Public	-	-	-	0.00%	0.00%	N.A.	N.A.
	Sub-Total (C)	-	-	-	0.00%	0.00%		
	GRAND TOTAL (A)+(B)+(C)	367	5,817,000	5,261,500	100.00%	100.00%		

Notes : Not Any



NCL RESEARCH FINANCIAL SERVICES LIMITED

31st December 2013

Quarter ended:

Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group."

Sl. No.	Name of the shareholder	Encumbered Shares		Details of Shares held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a Percentage	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Convertible Securities of the same Class		
(I)	(II)	(III)	(IV)	(V)	(VI) = (V) / (III) * 100	(VII)	(VIII)	(IX)	(X)	(XI)
1	Vijay Poddar	163,500	2.81%	-	-	-	-	-	-	2.81%
	TOTAL	163,500	2.81%	-	-	-	-	-	-	2.81%

(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sl. No.	Name of the shareholder	Detail of Warrants		Detail of Warrants		Detail of Warrants		Detail of Warrants		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of Warrants held	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
1	Fair Deal Equipments & Realtors Pvt. Ltd.	100,000	1.72%	-	-	-	-	-	-	1.72%
2	Leava Trading Private Limited	131,914	2.10%	-	-	-	-	-	-	2.10%
3	Greenrow Trading Private Limited	72,866	-	-	-	-	-	-	-	-
4	Lilwood Teap Private Limited	75,109	1.29%	-	-	-	-	-	-	1.29%
5	Reachant Construction Private Limited	77,404	-	-	-	-	-	-	-	-
6	Reachant Doornade Private Limited	81,944	1.41%	-	-	-	-	-	-	1.41%
7	Goodpoint Impres Private Limited	106,065	-	-	-	-	-	-	-	-
8	Snowhill Deatrade Private Limited	107,865	1.85%	-	-	-	-	-	-	1.85%
9	Cone Commodities Private Limited	179,862	3.08%	-	-	-	-	-	-	3.08%
10	Sankarshi Agencies Private Limited	216,243	4.75%	-	-	-	-	-	-	4.75%
11	Machulnet Saril	60,000	1.03%	-	-	-	-	-	-	1.03%
12	Hdon Properties Private Limited	112,973	1.93%	-	-	-	-	-	-	1.93%
13	Aayan Commercial Private Limited	85,292	1.47%	-	-	-	-	-	-	1.47%
14	Saket Tradlink Private Limited	134,481	2.31%	-	-	-	-	-	-	2.31%
15	Shivashi Mercantile Private Limited	97,740	1.68%	-	-	-	-	-	-	1.68%
16	Katyam Commodities Private Limited	161,663	2.78%	-	-	-	-	-	-	2.78%
17	Overleaf Financial Advisory Pvt. Ltd.	251,694	4.33%	-	-	-	-	-	-	4.33%
18	Everstrong Vincoe Private Limited	216,793	3.73%	-	-	-	-	-	-	3.73%
19	Joyganu Connostrade Pvt. Ltd.	90,467	1.56%	-	-	-	-	-	-	1.56%
20	Spara Vasiya Private Limited	104,625	1.80%	-	-	-	-	-	-	1.80%
21	Sheehan Vinmay Private Limited	189,168	2.92%	-	-	-	-	-	-	2.92%
22	Swadhwa Vintade Private Limited	216,087	3.71%	-	-	-	-	-	-	3.71%
23	Rockers Tradlink Pvt. Ltd.	104,755	1.80%	-	-	-	-	-	-	1.80%
24	Goodshine Deatrade Pvt. Ltd.	330,972	5.68%	-	-	-	-	-	-	5.68%
25	Victor Connostrade Private Limited	103,278	1.78%	-	-	-	-	-	-	1.78%
26	Looklike Trade Private Limited	227,745	3.92%	-	-	-	-	-	-	3.92%
	TOTAL	3,625,005	57.91%	-	-	-	-	-	-	57.91%

(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sl. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with	Total Shares Held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class	
1	Goodshine Deatrade Pvt. Ltd.	330,972	5.68%	-	-	-	-	5.68%



Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED	
Scrip Code: 530557		Quarter ended:	31st December 2013

(I)(d) Statement showing details of locked-in shares				
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I)(a) above}	Promoter / Promoter Group / Public
1	Not Any	-	0.000%	N.A.
TOTAL		-	0.000%	

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares.

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				

