

(I)(a) Statement showing Shareholding Pattern

Name of the Company : <b>NCL RESEARCH &amp; FINANCIAL SERVICES LIMITED</b>			
Name of the scrip : <b>NCL RESEARCH</b>			
Scrip Code : 530557		Class of Security : Equity Shares	
Period ended: <b>30th September 2012</b>			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>	<b>5,817,000</b>		

(l)(a) Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED						
Scrip Code : 530557		Quarter ended:			30th September 2012			
Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	*Shareholding of Promoter and Promoter Group						(VIII)	(IX) = (VIII) / (VI) * 100
-1	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	5	211,100	211,100	3.63%	3.63%		
(b)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(c)	Bodies Corporate	2	2,930	2,930	0.05%	0.05%	-	-
(d)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	<b>Sub-Total (A)(1)</b>	7	214,030	214,030	3.68%	3.68%	-	-
-2	<b>Foreign</b>				0.00%	0.00%	-	-
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	0.00%	0.00%	-	-
(b)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(c)	Institutions	-	-	-	0.00%	0.00%	-	-
(d)	Qualified Foreign Investor	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	<b>Sub-Total (A)(2)</b>	-	-	-	0.00%	0.00%	-	-
	<b>*Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)</b>	7	214,030	214,030	3.68%	3.68%	-	-
(B)	<b>Public shareholding</b>				0.00%	0.00%	N.A.	N.A.
-1	<b>Institutions</b>				0.00%	0.00%	N.A.	N.A.
(a)	Mutual Funds/ UTI	-	-	-	0.00%	0.00%		
(b)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%		
(c)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%		
(d)	Venture Capital Funds	-	-	-	0.00%	0.00%		
(e)	Insurance Companies	-	-	-	0.00%	0.00%		
(f)	Foreign Institutional Investors	-	-	-	0.00%	0.00%		
(g)	Foreign Venture Capital Investors	-	-	-	0.00%	0.00%		
(h)	Qualified Foreign Investors	-	-	-	0.00%	0.00%		
(i)	Any Other (specify)	-	-	-	0.00%	0.00%		
	<b>Sub-Total (B)(1)</b>	-	-	-	0.00%	0.00%		
-2	<b>Non-institutions</b>				0.00%	0.00%		
(a)	Bodies Corporate	69	367,578	338,578	6.32%	6.32%	N.A.	N.A.
(b)	Individuals -				0.00%	0.00%		
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh.	692	1,628,569	1,624,569	28.00%	28.00%		
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	139	3,606,823	3,311,823	62.00%	62.00%		
(c)	Qualified Foreign Investors	-	-	-	0.00%	0.00%		
(d)	Any Other (HUF)	-	-	-	0.00%	0.00%		
	<b>Sub-Total (B)(2)</b>	900	5,602,970	5,274,970	96.32%	96.32%		
	<b>Total Public Shareholding (B) = (B)(1) + (B)(2)</b>	900	5,602,970	5,274,970	96.32%	96.32%	N.A.	N.A.
	<b>TOTAL (A)+(B)</b>	907	5,817,000	5,489,000	100.00%	100.00%		
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	-	-	-	0.00%	0.00%	N.A.	N.A.
(1)	Promoter and Promoter Group	-	-	-	0.00%	0.00%	-	-
(2)	Public	-	-	-	0.00%	0.00%	N.A.	N.A.
	<b>Sub-Total (C)</b>	-	-	-	0.00%	0.00%		
	<b>GRAND TOTAL (A)+(B)+(C)</b>	907	5,817,000	5,489,000	100.00%	100.00%		

Notes : Not Any

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED									
Scrip Code : 530557			Quarter ended:				30th September 2012				
(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"											
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered Shares			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number	As a Percentage	As a % of Grand Total (A)+(B)+(C) of Sub-Clause (I)(a)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Convertible Securities of the same Class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III) * 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Samir Baid	300	0.01%	-	-	-	-	-	-	-	300
2	Inder Chand Baid	4,500	0.08%	-	-	-	-	-	-	-	4,500
3	Saroj Baid	7,800	0.13%	-	-	-	-	-	-	-	7,800
4	Manish Baid	35,000	0.60%	-	-	-	-	-	-	-	35,000
5	Vijay Poddar	163,500	2.81%	-	-	-	-	-	-	-	163,500
6	GCM Securities Private Limited	390	0.01%	-	-	-	-	-	-	-	390
7	Global Capital Mkt & Infrastructures Ltd.	2,540	0.04%	-	-	-	-	-	-	-	2,540
<b>TOTAL</b>		<b>214,030</b>	<b>3.68%</b>	-	-	-	-	-	-	-	<b>214,030</b>

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares											
Sr. No.	Name of the shareholder	Total Shares Held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital			
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class				
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			
1	Fair Deal Equisearch & Realtors Pvt. Ltd.	100,000	1.72%	-	-	-	-	100,000			
2	Roychand Chenraj	66,000	1.13%	-	-	-	-	66,000			
<b>TOTAL</b>		<b>166,000</b>	<b>2.85%</b>	-	-	-	-	<b>166,000</b>			

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company											
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Total Shares Held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital			
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class				
N.A.		-	0.00%	-	-	-	-	-			

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED		
Scrip Code : 530557	Quarter ended:	30th September 2012	

(I)(d)	Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter / Promoter Group / Public
1	Hanuman Prashad	20,000	0.34%	Public
2	Pawan Kumar Agarwal	20,000	0.34%	Public
3	Sunil Kumar Patwari	30,000	0.52%	Public
4	Sanjib Kumar Patwari	30,000	0.52%	Public
5	Sunil Kumar Patwari HUF	30,000	0.52%	Public
6	Sanjib Kumar Patwari HUF	30,000	0.52%	Public
7	Shaila Patwari	30,000	0.52%	Public
8	Sajjan Kumar Patwari	30,000	0.52%	Public
9	Sanjay Kumar Patwari	30,000	0.52%	Public
10	Sarika Patwari	30,000	0.52%	Public
11	Priyanka Patwari	30,000	0.52%	Public
12	Bhagwati Devi Patwari	30,000	0.52%	Public
13	S K Patwari	30,000	0.52%	Public
14	Sanjay Kumar Patwari HUF	30,000	0.52%	Public
15	Jagdish Prasad Gupta	29,000	0.50%	Public
16	Suresh Chand Gupta	29,000	0.50%	Public
17	Ramesh Chand Gupta	29,000	0.50%	Public
18	Shivank Kedia	20,000	0.34%	Public
19	ATS Share Brokers Private Limited	30,000	0.52%	Public
20	Sunita Jatia	20,000	0.34%	Public
21	Dinesh Saraogi	20,000	0.34%	Public
22	Viswanath Gupta	29,000	0.50%	Public
23	Ritesh Singh	29,000	0.50%	Public
24	Nikita Singhal, Jt. Sumit Kumar Singhal	30,000	0.52%	Public
25	Kshitij Agrawal, Jt. Preeti Agrawal	29,000	0.50%	Public
26	Subrata Banerjee	29,000	0.50%	Public
27	Sunil Kumar Agarwal & Sons	29,000	0.50%	Public
28	Ashish Sewak, Jt. Milly Sewak	30,000	0.52%	Public
29	Durga Mittal	29,000	0.50%	Public
30	Amit Agrawal, Jt. Sandeep Agrawal, Jt. Ritu Agrawal	30,000	0.52%	Public
31	Pawan Kumar Kejriwal	30,000	0.52%	Public
32	Santosh Kumar Kejriwal	30,000	0.52%	Public
33	Sanjay Kumar Mittal	29,000	0.50%	Public
34	Sanjay Luharuka, Jt. Anita Luharuka	30,000	0.52%	Public
35	Umesh Agrawal, Jt. Pragya Agrawal	30,000	0.52%	Public
36	Padmini Bhartia	29,000	0.50%	Public
37	Seema Bhartia	29,000	0.50%	Public
38	Laxmi Goyal, Jt. Suresh Goyal	30,000	0.52%	Public
39	Abhishek M Nathani	29,000	0.50%	Public
40	Uma Goyal, Jt. Sanjay Goyal	30,000	0.52%	Public
41	Shankey Kaila	29,000	0.50%	Public
42	Rajkumar Chopda	22,000	0.38%	Public
43	Nisha Goyal, Jt. Naresh Goyal	29,000	0.50%	Public
	<b>TOTAL</b>	<b>1,217,000</b>	<b>20.92%</b>	

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED	
Scrip Code: 530557	Quarter ended:	30th September 2012

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
TOTAL				

(II)(b)

Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				