

(I)(a) Statement showing Shareholding Pattern

Name of the Company : NCL RESEARCH & FINANCIAL SERVICES LIMITED			
Name of the scrip : NCL RESEARCH			
Scrip Code : 530557		Class of Security : Equity Shares	
Period ended: 30th June 2012			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	4,600,000		

(l)(a) Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED						
Scrip Code : 530557		Quarter ended:			30th June 2012			
Period ended:	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	*Shareholding of Promoter and Promoter Group						(VIII)	(IX) = (VIII) / (VI) * 100
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	247,600	247,600	5.38%	5.38%		
(b)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(c)	Bodies Corporate	2	31,430	31,430	0.68%	0.68%	-	-
(d)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(1)	7	279,030	279,030	6.07%	6.07%	-	-
-2	Foreign				0.00%	0.00%	-	-
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	0.00%	0.00%	-	-
(b)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(c)	Institutions	-	-	-	0.00%	0.00%	-	-
(d)	Qualified Foreign Investor	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(2)	-	-	-	0.00%	0.00%	-	-
	*Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)	7	279,030	279,030	6.07%	6.07%	-	-
(B)	Public shareholding				0.00%	0.00%	N.A.	N.A.
-1	Institutions				0.00%	0.00%	N.A.	N.A.
(a)	Mutual Funds/ UTI	-	-	-	0.00%	0.00%		
(b)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%		
(c)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%		
(d)	Venture Capital Funds	-	-	-	0.00%	0.00%		
(e)	Insurance Companies	-	-	-	0.00%	0.00%		
(f)	Foreign Institutional Investors	-	-	-	0.00%	0.00%		
(g)	Foreign Venture Capital Investors	-	-	-	0.00%	0.00%		
(h)	NRI	-	-	-	0.00%	0.00%		
	Sub-Total (B)(1)	-	-	-	0.00%	0.00%		
-2	Non-institutions				0.00%	0.00%		
(a)	Bodies Corporate	71	272,806	272,806	5.93%	5.93%	N.A.	N.A.
(b)	Individuals -				0.00%	0.00%		
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh.	695	1,613,383	1,609,383	35.07%	35.07%		
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	105	2,434,781	2,344,781	52.93%	52.93%		
(c)	Any Other (HUF)	-	-	-	0.00%	0.00%		
	Sub-Total (B)(2)	871	4,320,970	4,226,970	93.93%	93.93%		
	Total Public Shareholding (B) = (B)(1) + (B)(2)	871	4,320,970	4,226,970	93.93%	93.93%	N.A.	N.A.
	TOTAL (A) + (B)	878	4,600,000	4,506,000	100.00%	100.00%		
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	0.00%	0.00%	N.A.	N.A.
(1)	Promoter and Promoter Group	-	-	-	0.00%	0.00%	-	-
(2)	Public	-	-	-	0.00%	0.00%	N.A.	N.A.
	Sub-Total (C)	-	-	-	0.00%	0.00%		
	GRAND TOTAL (A) + (B) + (C)	878	4,600,000	4,506,000	100.00%	100.00%		

Notes : Not Any

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a % of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (l)(a) above}	Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of Warrants	As a % total number of warrants of the same class	Number of Convertible Securities held	% w.r.t total number of convertible securities of the same class	
	N.A.	-	-	-	-	-	-	-

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED		
Scrip Code : 530557	Quarter ended:	30th June 2012	

(I)(d) Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I)(a) above}
1	Ashok Kumar Goel	25,000	0.54%
2	Yogesh Kumar Goel	25,000	0.54%
3	Yogendra Kumar Goel	25,000	0.54%
4	Vibhore Kumar Goel	25,000	0.54%
5	Smt. Muktaben V Mithani	30,000	0.65%
6	Kuldip Bhargava	30,000	0.65%
7	Tejasvi Bhargava	30,000	0.65%
8	Tapasvi Bhargava	30,000	0.65%
9	Sourabha Banerjee	30,000	0.65%
10	Arun Singhania, Jt. Ashok Singhania, Jt. Naresh Singhania	30,000	0.65%
11	Uma Jai Prakash Agarwal	30,000	0.65%
12	Praveen K. Burud, Jt. Kamlesh K. Burud, Jt. Basantibai Burud	30,000	0.65%
13	Amit Bahrta	30,000	0.65%
14	Bipin Kumar Agarwal	30,000	0.65%
15	Vivek Kumar Singhal	30,000	0.65%
16	Deepak Kumar Singhal	30,000	0.65%
17	Sanjay Agrawal HUF	30,000	0.65%
18	Sachin Goyal HUF	30,000	0.65%
19	Manjari Agarwal	30,000	0.65%
20	Onkarnath Agarwal	30,000	0.65%
21	C Lalith Kumar	20,000	0.43%
22	Pankaj Mehta	20,000	0.43%
23	Nitesh Singh	22,000	0.48%
24	Nitesh Singh HUF	22,000	0.48%
25	Ranjeet Kanunga	20,000	0.43%
26	Satish Kumar Gupta	20,000	0.43%
27	Jinesh Navinchandra Kubadia	20,000	0.43%
28	Brij Bhushan Agarwal	23,000	0.50%
29	Mittu Agarwal	22,000	0.48%
30	Sumitra Devi Agarwal	21,000	0.46%
31	Sheetij Agarwal	24,000	0.52%
32	Subham Agarwal	20,000	0.43%
33	BBA & Sons, HUF	24,000	0.52%
34	Bajrang Lal Agarwal	23,000	0.50%
35	Bina Devi Agarwal	22,000	0.48%
36	Sanjay Agarwal	23,000	0.50%
37	Pooja Agarwal	21,000	0.46%
38	Bajrang Lal Agarwal & Sons HUF	24,000	0.52%
39	Rajan Ashok Agarwal	22,000	0.48%
40	Ajay Shivnath Agarwal	22,000	0.48%
41	Sanjay Shivnath Agarwal	22,000	0.48%
42	Dhanesh Kawar, Jt. Badan Kawar	20,000	0.43%
43	Ritesh Kawar, Jt. Anju Kawar	20,000	0.43%
44	Shweta Vikas Kawar	20,000	0.43%
45	Ankit Kawar, Jt. Kusum Noratmal Kawar	20,000	0.43%
46	Sajjanraj Kawar, Jt. Nikunj Kawar	23,000	0.50%

47	Sunil Kumar Kawar	20,000	0.43%
48	Anil Kawar, Jt. Chetna Kawar	20,000	0.43%
49	Kantaben B Kubadia	20,000	0.43%
TOTAL		1,200,000	26.09%

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED	
Scrip Code: 530557	Quarter ended:	30th June 2012

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
TOTAL				

(II)(b)

Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				